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Brandy L. Belony

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Inventor : Yoshitoshi KUROSE, et al.

Filed : November 19, 2001

For SERVICE ALLOCATING DEVICE

Serial Number : 09/989,866

December 6, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

In order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the non-US documents (if any) listed thereon. These documents contain information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

For documents written in a language other than English, English language abstracts and/or explanations are annexed thereto explaining relevancy in accordance with 37 CFR §1.98 (a)(3).

Also attached is an International Search Report dated October 5, 1999, from the corresponding International Application.

12/08/2005 MBIZUNES 00000018 501290 09989866

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The present Information Disclosure Statement is being submitted in compliance with 37 §CFR 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 (a), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

As this statement is being filed after issuance of a final Office Action, please charge a fee of \$180.00 to Deposit Account 50-1290 in accordance with 37CFR §§1.97(c) and 1.17(p).

Early and favorable consideration is respectfully solicited.

Respectfully submitted,



Brian S. Myers  
Reg. No. 46,947

Customer Number: 026304  
Docket No.: FUJO 19.189

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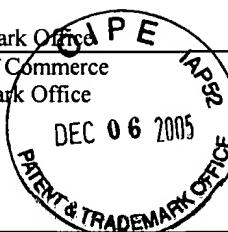
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 1



U.S. DEPARTMENT OF COMMERCE

Application No. : 09/989,866

Filing Date :

First Named Inventor: Y. KUROSE

Group Art Unit :

Examiner Name :

Attorney Docket No. : FUJO 19.189

**U.S. PATENT DOCUMENTS**

| Examiner Initials | Cite No. <sup>1</sup> | U.S. Patent Document | Kind Code if known <sup>2</sup> | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear |
|-------------------|-----------------------|----------------------|---------------------------------|---|--|---|
|                   |                       |                      |                                 |   |  |   |
|                   |                       |                      |                                 |   |  |   |
|                   |                       |                      |                                 |   |  |   |
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**FOREIGN DOCUMENTS**

| Examiner Initials | Cite No. <sup>1</sup> | Foreign Patent Document <sup>3</sup> Number <sup>4</sup><br>Kind Code <sup>5</sup><br>(if known) | Country | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YY | Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear |
|-------------------|-----------------------|--|---------|---|--|---|
|                   |                       | 0 790 751  | EP      | LUCENT TECHNOLOGIES INC.                        | 08-20-1997                                     | Corresponds to JP Application 9-247190                                  |
|                   |                       | 9-247190   | JP      |   | 09-19-1997                                     | Corresponds to EP Application 0 790 751                                 |

**Other Prior Art-Non Patent Literature Documents**

|                    |          |  |  |
|--------------------|----------|--|--|
| Examiner Initials  | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source. | Applicant check her if English language translation attached |
|                    |          | International Search Report dated October 5, 1999  |  |
|                    |          | NIKKEI COMMUNICATIONS. Ukiah Software (USA) Plans to Deliver Policy Server with Charging Function in July, February 1, 1999.   | X  |
|                    |          | RAJ YAVATKAR, et al. "A Framework for Policy-based Admission Control" Internet Engineering Task Force, draft-ietf-rap-framework-03.txt, April 1999.  | X  |
|                    |          | YOSHITOSHI KUROSE, et al. " A Study on Policy-Based CoS Control" Proceedings of the 1998 Communications Society Conference of IEICE, Communications Society, The Institute of Electronics Information and Communication Engineers, September 29, 1998        | X  |
|                    |          | YUJI NOMURA, et al. "A Study on Policy-Based Dynamic Configuration " Technical Report of IEICE, IN98-140, 155, Vol. 98, No.542.  | X  |
|                    |          | JIM BOYLE, et al. "The COPS (Common Open Policy Service) Protocol", Internet-Draft, draft-ietf-rap-cops-06.txt, February 24, 1999  | X  |
| Examiner Signature |          | Date Considered  |  |

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1<sup>6</sup> if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.